

CCA'S ESG POLICY STATEMENT

In developing our ESG policy, CCA has given consideration to a range of codes and standards as a guide. These include the United Nations supported Principles for Responsible Investment (PRI), the United Nations Global Compact, the requirements of our Anti-Bribery and Corruption Policy, GP Exclusion list, IFC Performance Standards 2012, applicable national regulations, CDC Code of Responsible Investing (referencing specific Schedules of relevance).

The CCA team will, to the best of our ability:

- Ensure the fund does not finance any of the activities on CCA's exclusion list
- Consider environmental, public health, safety, and social issues associated with target companies when evaluating whether to invest in a particular entity, as well as during the period of ownership
- Review potential investments in relation to the IFC performance standards on Environmental and Social Sustainability 2012 to determine the scope of E&S conditions for fund financing
- Remain committed to compliance with applicable national, state and local laws in countries in which we invest; support the payment of competitive wages and benefits to employees, provide a safe and healthy workplace in conformance with national and local law; and, consistent with applicable law, respect the rights of employees to decide whether or not to join a union and engage in collective bargaining
- Seek to grow and improve the companies in which we invest through long-term sustainability initiatives, while also considering stakeholders on environmental, social and governance issues. We will work through appropriate governance structures (e.g. board of directors) with portfolio companies with respect to environmental, public health, safety, and social issues, with the goal of improving performance and minimizing adverse impacts in these areas
- Seek to use governance structures that provide appropriate level of oversight in the areas of audit, risk management and potential conflicts of interest and to implement compensation and other policies that align the interests of our funds and management maintain strict policies that prohibit bribery and other improper payments to public officials consistent with the U.S. Foreign Corrupt Practices Act, similar laws in other countries, and the OECD Anti-Bribery Convention
- Provide information to limited partners on the matters addressed herein, and work to foster transparency about our activities
- Introduce relevant actions on ESG as part of the conditions precedent and subsequent into the investment agreements with investee companies
- Encourage, work with and support the investee companies' management and board of directors to entrench ESG principles and practices as well as implementation of ESAP into such companies